

BIOGRAPHICAL AND FINANCIAL INFORMATION REQUESTED OF BOARD/COMMISSION NOMINEES

Instructions: Nominees are asked to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type “None” or “Not Applicable” if a question does not apply to the nominee. Please return printed answers to the Committee.

Begin each section (i.e., “A”, “B”. etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION

1. **Name:** (Include any former names or nicknames used.) Scott Kevin Walker
2. **Position to which nominated:** Member of the Advisory Board of the Saint Lawrence Seaway Development Corporation
3. **Date of nomination:**
4. **Address:** (List current place of residence and office addresses.) 2334 North 73rd Street Wauwatosa, WI 53213-1210
5. **Date and place of birth:** November 2, 1967 in Colorado Springs, CO
6. **Marital status:** (Include maiden name of wife or husband’s name.): Tonette Marie Tarantino Walker
7. **Names and ages of children:** (Include stepchildren and children from previous marriages.): Matthew David Walker (9) and Alexander Nicholas Walker (8)
8. **Education:** (List secondary and higher education institutions, dates attended, degree received, and date degree granted.): Marquette University 1986 to 1990; no degree
9. **Employment record:** (List all jobs held since college, including the title or description of job, name of employer, location of work, and dates of employment.):
IBM Corporation, Inc. – Milwaukee Metro Office; Account Administrator from 1988 to 1990
American Red Cross – Greater Milwaukee Chapter; Financial Development Specialist from 1990 to 1994
Wisconsin State Assembly – State Representative; elected from 1993 to 2002
Milwaukee County Executive; elected April 30, 2002

10. **Government experience:** (List any advisory, consultative, honorary or other part-time service or positions with Federal, State, or local governments, other than those listed above.): Sesquicentennial Commission – State of Wisconsin 1992
11. **Business relationships:** (List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business enterprise, educational, or other institution.): Board of Directors, Foundation for Heart Science, Wauwatosa, WI
12. **Memberships:** (List all memberships and offices held in professional, fraternal, scholarly, civic, business, charitable, and other organizations.): National Association of Counties; American Legislative Exchange Council; Underwood Memorial Baptist Church in Wauwatosa; Boy Scouts of America – Milwaukee County Council; Wauwatosa Historical Society; NAACP – Milwaukee Chapter; United Way of Greater Milwaukee – Campaign Cabinet
13. **Political affiliations and activities:**
 - (a) List all offices with a political party which you have held or any public office for which you have been a candidate.
Wisconsin State Representative – ran and was elected as a Republican
 - (b) List all memberships and offices held in and services rendered to all political parties or election committees during the last 10 years: Republican Party of Wisconsin (chair of the 5th Congressional District; member of the state executive committee; member, Wauwatosa Republican Club; member, Milwaukee County Republican Party)
 - (c) Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past 10 years: none
14. **Honors and awards:** (List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognitions for outstanding service or achievements.): Honorary member, Sons of Italy; Honorary member of Board of Directors, Milwaukee Symphony Orchestra; Past Presidents Award from West Suburban Chamber of Commerce; Lawmaker of the Year – State Medical Society; Lawmaker of the Year – Wisconsin Professional Fire Fighters Association; Lawmaker of the Year – Wisconsin Public Health Association
15. **Published writings:** (List the titles, publishers, and dates of books, articles, reports, or other published materials which you have written.): None
16. **Speeches:** Provide the Committee with two copies of any formal speeches you have delivered during the last 5 years which you have copies of on topics relevant to the position for which you have been nominated: None on this direct topic.
17. **Selection:**
 - (a) Do you know why you were selected for the position to which you have been nominated by the President?
Wisconsin and Milwaukee County border Lake Michigan and trade and commerce through the Great Lakes is an important part of the economy.
 - (b) What in your background or employment experience do you believe affirmatively qualifies you for this particular appointment? Chief Executive Officer/Chief Elected Official of the largest county in Wisconsin and the largest point of commercial access to Lake Michigan in the State of Wisconsin.

B. FUTURE EMPLOYMENT RELATIONSHIPS

1. Will you sever all connections with your present employers, business firms, business associations, or business organizations if you are confirmed by the Senate? I have no employment aside from holding the office of Milwaukee County Executive (elected office).
2. Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the government? If so, explain.: No
3. Do you have any plans, commitments, or agreements after completing government service to resume employment, affiliation, or practice with your previous employers, business firms, associations, or organizations? No
4. Has anybody made a commitment to employ your services in any capacity after you leave government service? No.
5. If confirmed, do you expect to serve out your full term or until the next Presidential election, whichever is applicable? Yes.

C. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. I am a participant in the Milwaukee County Deferred Compensation Plan. The balance as of December 31, 2003 is \$2,452.20. All future contributions will be directed as follows (per the plan): 55% T. Rowe Price Personal Strategy Balanced; 25% American Funds Wash Mutual Invs.; 10% Heartland Value Fund; 10% T. Rowe Price International Stock Fund.
2. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. None.
3. Describe any business relationship, dealing, or financial transaction which you have had during the last 10 years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. None.
4. Describe any activity during the past 10 years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy. As the elected County Executive of the largest county in the State of Wisconsin, I occasionally comment on legislation proposed at the state and federal level – primarily dealing with funding for county operated programs. Prior to taking office on May 9, 2002, I served as a member of the Wisconsin State Assembly from the date of my election on June 29, 1993 to the day I resigned on May 9, 2002. During that time, I introduced, debated and discussed legislation at the state level.

5. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items. (Please provide a copy of any trust or other agreements.) Just as I did when serving as a member of the State Legislature, I realize that there may be times when the larger interests of the United States are not the same as a local interest within Milwaukee County and I will make my decision based on the larger good for the country. Reviewing the work of this panel, however, I do not believe that this would be a common occurrence (if at all).
6. Do you agree to have written opinions provided to the Committee by the designated agency ethics officer of the agency to which you are nominated and by the Office of Government Ethics concerning potential conflicts of interest or any legal impediments to your serving in this position? Yes.

D. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain. No.
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority for violation of any Federal, State, county, or municipal law, regulation, or ordinance, other than for a minor traffic offense? If so, please explain. No.
3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain. Yes, I was as the former Chairman of the state Assembly Committee on Corrections and the Courts, I was occasionally listed (along with other state officials) in lawsuits brought by inmates against the state.

As the top elected officer in the county, I am occasionally listed in suits brought by individuals or groups against the county. None of these legal matters relate to my personal actions, but are related to my role as an elected official with that level of government.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? No.
5. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination. My current office is non-partisan. While I am clearly a Republican, I work well with elected officials from both major parties – including Senators Kohl and Feingold and the members of the Wisconsin Congressional Delegation. I know and contacted former Governor Tony Earl – whom I replace on this panel and will work well with the other members. I also contacted the members of the Port of Milwaukee authority because of their positive relationship with the board and interest in the seaway.

E. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your board/commission complies with deadlines for information set by congressional committees? Yes.
2. Will you ensure that your board/commission does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures? Yes.
3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee? Yes.
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so? Yes.

F. GENERAL QUALIFICATIONS AND VIEWS

1. How does your previous professional experiences and education qualify you for the position for which you have been nominated? Current role as the CEO of the largest county in the State of Wisconsin and the largest access point to Lake Michigan comes through Milwaukee County.
2. Why do you wish to serve in the position for which you have been nominated? Excellent connection to the commerce and conservation work done through the Great Lakes.
3. What goals have you established for your first two years in this position, if confirmed? Working with other members to increase commerce opportunities for the United States, compliance with all federal, state and local requirements and continued dedication of protection of the natural resources available through the Great Lakes.
4. What skills do you believe you may be lacking which may be necessary to successfully carry out this position? What steps can be taken to obtain those skills? I do not have a direct occupational background in the areas of commerce and conservation on the Great Lakes. I am working with former Governor Earl – as well as organizations and individuals from Milwaukee County and throughout Wisconsin to obtain insight from those with direct occupational experience.
5. Please discuss your philosophical views on the role of government. Include a discussion of when you believe the government should involve itself in the private sector, when society's problems should be left to the private sector, and what standards should be used to determine when a government program is no longer necessary. I believe that government should play a limited role in the lives of individuals, but that this involvement should be determined by the legislative and executive branches. Individual commissions, boards and authorities within the government should

administer this pre-established policy. Overall, government should be limited to involvement in areas that could not be administered by individual persons or organizations.

6. Describe the current mission, major programs, and major operational objectives of the board/commission to which you have been nominated. The SLSDC is responsible for the operations and maintenance of the U.S. portion of the St. Lawrence Seaway between Montreal and Lake Erie. This responsibility includes maintaining and operating the two U.S. Seaway locks in Massena, N.N., and vessel traffic control in the areas of the St. Lawrence River and Lake Ontario. In addition, the SLSDC performs trade development functions designed to enhance Great Lakes St. Lawrence Seaway System utilization.

The SLSDC coordinates its activities with its Canadian counterpart, the St. Lawrence Seaway Management Corporation (SLSMC), particularly with respect to rules and regulations, overall day-to-day operations, traffic management, navigation aids, safety, environmental programs, operating dates, and trade development programs. The unique binational nature of the Seaway System requires 24-hour, year-round coordination between the two Seaway entities.

7. What do you believe to be the top three challenges facing the board/commission and why? Reliability, safety and security are the top three challenges. Continuing to meet these challenges will allow the people of the United States and Canada who rely on the ports throughout the system to prosper. If the system is reliable, safe and secure, commerce will grow.
8. In reference to question number six, what factors in your opinion have kept the board/commission from achieving its missions over the past several years? It seems that the SLSDC has met the objectives that I stated in question number six over the past few years.
9. Who are the stakeholders in the work of this board/commission? The biggest stakeholders are the people of the United States and Canada. More specifically, the communities that run ports, the companies that rely on goods received through those ports and the companies that provide goods to other parts of the world through those ports. In addition, there are a great many individuals whose employment is connected to the operations of the ports and of the seaway system. The owners and operators of the more than 2,000 commercial vessels are clearly stakeholders in the system.
10. What is the proper relationship between the position to which you have been nominated, and the stakeholders identified in question number nine? This position requires a balance of interests between the stakeholders and between various states and – ultimately – the United States and Canada. Any actions taken should also be consistent with the policy of the United States on overall transportation and commerce policy.
11. Please describe your philosophy of supervisor/employee relationships. Generally, what supervisory model do you follow? Have any employee complaints been brought against you? No, not against me personally, but complaints are routinely brought against Milwaukee County.
12. Describe your working relationship, if any, with the Congress. Does your professional experience include working with committees of Congress? If yes, please explain. Yes, I work closely with

all of the members of the Wisconsin delegation. Two of the current members served directly with me in the Wisconsin State Legislature.

13. In the areas under the board/commission jurisdiction to which you have been nominated, what legislative action(s) should Congress consider as priorities? Please state your personal views. Maintenance of support for the safety, security and reliability of the system.
14. Please discuss your views on the appropriate relationship between a voting member of an independent board or commission and the wishes of a particular president. There must be a careful balance between the fact that these appointments are driven by the Executive Branch and there should be proper deference given to the policies of the current administration and the fact that members of an independent board are selected because of experience and talents specific to the workings of the board and they must use those skills to make appropriate decisions for the board.

G. FINANCIAL DATA (Will not be released to the public.)

1. Provide a complete and current financial net worth statement which itemizes in detail:
 - (a) The identity and value of all assets held, directly or indirectly, with a value in excess of \$1,000. This itemization should include, but not be limited to, bank accounts, securities, commodities futures, real estate, trusts, investments, and other personal property held in a trade or business or for investment. Household furnishings, clothing, and automobiles need not be reported. House; mutual funds; life insurance; pension fund; 401(k)

Home (2334 North 73rd Street Wauwatosa, WI) assessed at \$XXX,XXXX.
Life Insurance policy with Northwestern Mutual for Scott Walker with total value of \$XXXX.
Life Insurance policy with Northwestern Mutual for Matthew Walker (son of Scott and Tonette Walker) with total value of \$XXXX.
Life Insurance policy with Northwestern Mutual for Alexander Walker (son of Scott and Tonette Walker) with total value of \$XXXX.
IRA with SmithBarney citigroup for Tonette Walker: total value as of December 31, 2003 is \$7,020.67.
Variable Annuity with Northwestern Mutual for Tonette Walker: total value as of December 31, 2003 is \$1,366.29.
Savings account for Matthew Walker (son of Scott and Tonette Walker): total value as of January 27, 2004 is \$7,054.81.
Savings account for Alexander Walker (son of Scott and Tonette Walker): total value as of January 27, 2004 is \$7,013.23.
Savings account with Think Federal Credit Union: balance as of January 31, 2004 is \$3,015.96.
 - (b) The identity and amount of each liability owed, directly or indirectly, which is in excess of \$1,000. This should include, but not be limited to, debts, mortgages, loans, and other financial obligations for which you, your spouse, or your dependents have a direct

liability or which may be guaranteed by yourself, your spouse, or your dependents. In identifying each such liability, indicate the nature of the liability, the name of the person owed, and the terms of payment.

GMAC Mortgage: home mortgage balance of \$118,719.74 (monthly payment of \$1,046.30)

MBNA America: loan balance of \$19,453.61 (monthly payment of \$385.00)

Think Credit Union: line of credit loan of \$2,113.77 (monthly payment of \$56.00)

2. Provide a list of all other liabilities owed, directly or indirectly, having a value in excess of \$1,000 at any time during the last 12 months. Identify the nature of each liability, the amount, and the name of the person owed. Describe the terms of each liability, the security or collateral for each liability, and the current status of the debt repayment.

Think Federal Credit Union was previously the IBM Mid America Employees Federal Credit Union.

3. Provide the identity, date, and amount of all transactions, directly or indirectly, in securities, commodities futures, real estate, or other investments, having a value in excess of \$1,000, which have taken place within the last 12 months. For purposes of this paragraph, the identity of individuals or charitable organizations need not be reported but should be indicated. None.
4. Provide the identity of and description of any interest in an option, mineral lease, copyright, or patent held, directly or indirectly, during the past 12 months and indicate which, if any, have been divested and the date of divestment. None.
5. Describe the terms of any beneficial trust or blind trust of which you, your spouse, or your dependents may be a beneficiary. In the case of a blind trust, provide the name of the trustee(s) and a copy of the trust agreement. None.
6. Provide a description of any fiduciary responsibility or power of attorney which you hold for or on behalf of any other person. I am the power of attorney for my grandmother Eleanor Fitch. My wife, Tonette Walker, is the power of attorney for her mother Geraldine Colletti.
7. List sources and amounts of all items of value in an amount exceeding \$250 received by you, your spouse, and your dependents during each of the last 3 years. This should include, but not be limited to, salaries wages, fees, dividends, capital gains or losses, interest gifts, rents, royalties, patents, and honoraria. Gifts received from members of your immediate family need not be listed. Salary and wages: Milwaukee County: 2002 (\$XX,XXX), 2003 (\$XX,XXX); State of Wisconsin: 2000 (\$XX,XXX), 2001 (\$XX,XXX), 2002 (\$XX,XXX); American Diabetes Association (Tonette): 2002 (\$XX,XXX), 2003 (\$XX,XXX); UnitedHealthcare: 2000 (\$XX,XXX), 2001 (\$XX,XXX), 2002 (\$XX,XXX).

Capital gains and losses:

Honoraria:

8. List sources, amounts, and dates of all anticipated receipts from deferred income arrangements, stock options, executory contracts, and other future benefits which you expect to derive from current or previous business relationships, professional services and firm memberships, employers, clients, and customers.

Deferred Compensation: I am a participant in the Milwaukee County Deferred Compensation Plan. The balance as of December 31, 2003 is \$2,452.20. All future contributions will be directed as follows (per the plan): 55% T. Rowe Price Personal Strategy Balanced; 25% American Funds Wash Mutual Invs.; 10% Heartland Value Fund; 10% T. Rowe Price International Stock Fund.

9. Have you filed a Federal income tax return for each of the past 10 years? If not, please explain. Yes (Joint return with Tonette Marie Walker)
10. Have your taxes always been paid on time? Yes.
11. Were all of your taxes, Federal, State, and local, current (filed and paid) as of the date of your nomination? Yes.
12. Has the Internal Revenue Service ever audited your Federal tax return? If so, what resulted from the audit? No.
13. Have any tax liens, either Federal, State, or local, been filed against you or against any real property or personal property which you own either individually, jointly, or in partnership. No.
14. Provide for the Committee copies of your Federal income tax returns for the past 3 years. These documents will be made available only to Senators and staff persons designated by the Chairman and Ranking Minority Member. They will not be made available for public inspection.

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(Nominee is to include this signed affidavit along with answers to the above questions.)

Affidavit

Scott Kevin Walker_____ being duly sworn, hereby states that he has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this 16th day of February, 2004.

Notary Public